# 11/07/01

### UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Docket No. 08228/018001

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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#### TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

| Transmitted herewith for filing under 35 U.S. | C. 111(a) and 37 C.F.R. | . 1.53(b) is a new utili | ity patent application for an |
|---|-------------------------|--------------------------|-------------------------------|
| invention entitled:                           |                         |                          |                               |

| inv <u>e</u> | ntion e  | ntitle      | <u>d:</u>  |       |                 |             | ·                 |                        |                            | Ţ, |
|--------------|--|-------------|------------|-------|-----------------|-------------|-------------------|------------------------|----------------------------|----|
| G            | GAS SEPARATION APPARATUS AND GAS SEPARATION METHOD |             |            |       |                 |             |                   |                        |                            |    |
| and          | invente  | ed by       | :          |       |                 | <del></del> |                   |                        |                            |    |
| Y            | Yoshinori TAJIMA and Takashi FUTATSUKI             |             |            |       |                 |             |                   |                        |                            |    |
| If a         | CONT   | INUA        | NOITA      | AP    | PLICATION,      | chec        | ck appropriate bo | ox and supply i        | the requisite information: |    |
|              | Cont   | inuat       | tion       |       | Divisional      |             | Continuation-     | in-part (CIP)          | of prior application No.:  |    |
| Wh           | ich is a   | 1:          |            |       |                 |             |                   |                        |                            |    |
|              | Cont   | inuat       | ion        |       | Divisional      |             | Continuation-     | in-part (CIP)          | of prior application No.:  |    |
| Whi          | ch is a  | :           |            |       |                 |             |                   |                        |                            |    |
|              | Cont   | inuat       | ion        |       | Divisional      |             | Continuation-     | in-part (CIP)          | of prior application No.:  |    |
|              |  |             |            |       |                 |             |                   |                        |                            |    |
| Enc          | losed a  | are:        |            |       |                 |             |                   | _                      |                            |    |
|              |  |             |            |       |                 |             | Application       | n Elements             |                            |    |
| 4            | I. 🗵   | Filir       | ig fee a   | IS C  | alculated and   | l tran      | smitted as desc   | ribed below            |                            |    |
| 2            | 2. 🗵   | Spe         | cificatio  | on h  | naving          |             | 18                | _ pages and in         | cluding the following:     |    |
|              | a.   | X           | Descr      | ipti  | ve Title of the | : Inve      | ention            |                        |                            |    |
|              | b.   |             | Cross      | Re    | eferences to F  | Relat       | ed Applications   | (if applicable)        |                            |    |
|              | C.   |             | Stater     | ner   | nt Regarding    | Fede        | erally-sponsored  | Research/Dev           | velopment (if applicable)  |    |
|              | d.   |             | Refere     | enc   | e to Microfich  | ne Ap       | pendix (if applic | able)                  |                            |    |
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|              | f.   |             |            |       | nmary of the    |             |                   |                        |                            |    |
|              | g.   | X           |            |       | •               |             | wings (if drawin  | as filed)              |                            |    |
|              | _  | _<br>⊠      |            |       | Description     |             |                   | <b>.</b> <del></del> , | 22511                      |    |
|              |  | <u> </u>    |            |       | as Classified   | Rein        | w                 |                        | PATENT TRADEMARK OFFICE    |    |
|              |  | $\boxtimes$ |            | . ,   | of the Disclos  |             | ***               |                        |                            |    |
|              | J.   | لكي         | ₩ D D II C | iUE I | פטוטפום אוווי   | uic         |                   |                        |                            |    |

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|                                | 3.  | $\boxtimes$ | Drawing(s) (when necessary as prescribed by 35 USC 113)   |  |  |  |  |  |  |  |
|--------------------------------|-----|-------------|---|--|--|--|--|--|--|--|
|                                |     | a.          | X   | Formal   | Number of Sheets 2   |  |  |  |  |  |
|                                |     | b.          |   | Informal   | Number of Sheets   |  |  |  |  |  |
|                                | 4.  | X           | Oath  | n or Declaration   |  |  |  |  |  |  |
|                                |     | a.          | X   | Newly executed (ori  | iginal or copy)  |  |  |  |  |  |
|                                |     | b.          |   | Copy from a prior a  | pplication (37 CFR 1.63(d)) (for continuation/divisional application only) |  |  |  |  |  |
|                                |     | C.          | X   | With Power of Attorn   | ney  |  |  |  |  |  |
|                                |     | d.          |   | DELETION OF INV.<br>Signed statement at<br>see 37 C.F.R. 1.63( | ittached deleting inventor(s) named in the prior application,              |  |  |  |  |  |
|                                | 5.  |             | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied unde Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |  |  |  |  |
|                                | 6.  |             | Con   | nputer Program in Mi   | icrofiche (Appendix)   |  |  |  |  |  |
|                                | 7.  |             | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)  |  |  |  |  |  |  |  |
| Tanger<br>C C<br>C C<br>C C    |     | a.          |   | Paper Copy   |  |  |  |  |  |  |
|                                |     | b.          |   | Computer Readable  | e Copy (identical to computer copy)  |  |  |  |  |  |
|                                |     | c.          |   | Statement Verifying  | g Identical Paper and Computer Readable Copy                               |  |  |  |  |  |
| Accompanying Application Parts |     |             |   |  |  |  |  |  |  |  |
|                                | 8.  | X           | Ass   | ignment Papers (co   | ver sheet & document(s))   |  |  |  |  |  |
|                                | 9.  |             | 37 (  | CFR 3.73(B) Statem   | ent (when there is an assignee)  |  |  |  |  |  |
|                                | 10. |             | Eng   | ılish Translation Doc  | cument (if applicable)   |  |  |  |  |  |
|                                | 11. |             | Info  | rmation Disclosure \$  | Statement/PTO-1449   Copies of IDS Citations                               |  |  |  |  |  |
|                                | 12. |             | Pre   | eliminary Amendment  | t  |  |  |  |  |  |
|                                | 13. | X           | Ack   | knowledgment postca  | ard  |  |  |  |  |  |
|                                | 14. | X           | Cer   | rtificate of Mailing   |  |  |  |  |  |  |
|                                |     |             |   | First Class 🛚  | Express Mail (Specify Label No.): EL890178085US                            |  |  |  |  |  |

Application Elements (Continued)

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

was unintentional.

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|  | Accompanying Application Parts (Continued)   |
|--|--|
| 15.  | Certified Copy of Priority Document(s) (if foreign priority is claimed) (Document to be provided) Priority is based on Japanese Patent Application No. 2000-343678; Filed on November 10, 2000.  |
| 16.  | Additional Enclosures (please identify below):   |
|  |  |
|  | Demost That Application Not Be Bublished Burguant To 35 II S.C. 122(b)(2)  |
| Applicates   | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)  |
| 7.   | Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.                                    |
| No.  | Warning  |
|  |  |
| SE CONTROL CON | An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, |

unless it is shown to the satisfaction of the Director that the delay in submitting the notice

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| Fee Calculation and Transmittal  CLAIMS AS FILED  For #Filed #Allowed #Extra Rate Fee  |              |                |          |      |           |          |  |
|--|--------------|----------------|----------|------|-----------|----------|--|
|  |              | CLAIMS         | AS FILED |      |           |          |  |
| For  | #Filed       | #Allowed       | #Extra   |      | Rate      | Fee      |  |
| otal Claims  | 17           | - 20 =         | 0        | x    | \$18.00   | \$0.00   |  |
| ndep. Claims   | 2            | - 3 =          | 0        | x    | \$84.00   | \$0.00   |  |
| ultiple Dependent C  | laims (check | if applicable) |          |      |           | \$0.00   |  |
| 75 Telepinate  |              |                |          |      | BASIC FEE | \$740.00 |  |
| OTHER FEE (specify   | purpose)     |                | Assign   | ment |           | \$40.00  |  |
| TOTAL FILING FEE \$780.00  |              |                |          |      |           |          |  |
| <ul> <li>A check in the amount of \$780.00 to cover the filing fee is enclosed.</li> <li>The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.</li> <li>□ Charge the amount of as filing fee.</li> <li>☑ Credit any overpayment.</li> <li>☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.</li> <li>□ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).</li> </ul> Dated: <ul> <li>Signature</li> <li>Jonathan P. Osha, Reg. No. 33,986</li> <li>ROSENTHAL &amp; OSHA L.L.P.</li> <li>700 Louisiana, Suite 4550</li> <li>Houston, Texas 77002</li> </ul> |              |                |          |      |           |          |  |

CC:

Telephone: (713) 228-8600 Facsimile: (713) 228-8778

|  |  |                              | D. J. A.N.                            |  |  |  |  |  |  |  |
|--|--|------------------------------|---------------------------------------|--|--|--|--|--|--|--|
| CERTIFICATE OF I   | Docket No.<br>08228/018001   |                              |                                       |  |  |  |  |  |  |  |
| Serial No.   | Group Art Unit   |                              |                                       |  |  |  |  |  |  |  |
| Invention: GAS SEPAR   | ATION APPARATUS AND GAS  | S SEPARATION METHOD          |                                       |  |  |  |  |  |  |  |
| I hereby certify that the Utility Patent Applicat  | e following correspondence:  |                              |                                       |  |  |  |  |  |  |  |
|  | (Identify type of correspondence)  |                              |                                       |  |  |  |  |  |  |  |
| Particular<br>E  | is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under |                              |                                       |  |  |  |  |  |  |  |
| 37 CFR 1.10 in an env  | velope addressed to: The Assista   | ant Commissioner for Patents | , Washington, D.C. 20231 on           |  |  |  |  |  |  |  |
| November (Date   |  |                              |                                       |  |  |  |  |  |  |  |
| Rhonda L. Parker  (Typed or Printed Name of Person Mailing Correspondence)  (Signature of Person Mailing Correspondence)  EL890178085US  ("Express Mail" Mailing Label Number) |  |                              |                                       |  |  |  |  |  |  |  |
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| CERTIFICATE OF I  | MAIL" (37 CFR 1.10)   | Docket No.<br>08228/018001 |   |  |  |  |  |
|---|---|----------------------------|---|--|--|--|--|
| Serial No.  | Examiner  | Group Art Unit             |   |  |  |  |  |
| Invention: GAS SEPARATION APPARATUS AND GAS SEPARATION METHOD |   |                            |   |  |  |  |  |
| Assignment  | (Identify type of correspondence)   |                            |   |  |  |  |  |
|   | th the United States Postal Servivelope addressed to: The Assistant 7, 2001 |                            | Vashington, D.C. 20231 on  Tarker  ailing Correspondence)  Correspondence)  5US |  |  |  |  |

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